

REGULATORY TRAINING FOR TRUSTEES 2025 - Programme

Personalrestaurant Piazza UBS, 1st floor, PATIO, Uetlibergstrasse 231, Zürich, 044 332 40 40

DATE Wednesday, 5 November 2025

08:30 [REGISTRATION and COFFEE](#)

08:45 **REGULATORY REPORTING OBLIGATIONS AND OTHER DUTIES POST-LICENSE / Session 1**

- Re-organisations / Mergers
- "Other significant changes"
- Qualified participants
- Other Duties (e.g. audit or enforcement-procedure related)

Speaker*: **Barbara GALLATI, PwC**

10:15 [REFRESHMENTS](#)

10:45 **TRUSTEE ACTIVITIES – SCOPE OF THE SWISS REGULATION AND RELATED IMPLICATIONS / Session 2**

- Management of separate fund (Art. 19 FINIG)
- Assets under Trust
- "Delegation" of Investment Management
- Crossborder Activities

Speaker*: **Marc BLUMENFELD, Alithis AG**

12:15 [LUNCH](#)

13:30 **AML AND SANCTIONS – BRUSH-UP AND RECENT DEVELOPMENTS / Session 3**

- Overview of key obligations
- AML risk analysis, complex structures, and further hot topics
- What to expect from the audit – insights and audit methodology

Speaker*: **Fabian Schmid, Grant Thornton**

15:00 [END OF REGULATORY TRAINING - REFRESHMENTS](#)

15:30 **FINCONTROL – INFORMATIONAL SESSION**

- Update from the perspective of the Supervisory Organisation / Regulator
- Panel / Q&A

Speaker*: **Simon Wälti, FINCONTROL**

17:00 [CLOSE OF THE DAY](#)

* The speakers receive no compensation

October 2025