

## REGULATORY TRAINING FOR TRUSTEES 2025 - Programme

Personalrestaurant Piazza UBS, 1<sup>st</sup> floor, PATIO, Uetlibergstrasse 231, Zürich, 044 332 40 40

**DATE**            **Wednesday, 22 January 2025**

08:30            REGISTRATION and COFFEE

08:45            **REGULATORY REPORTING OBLIGATIONS AND OTHER DUTIES POST-LICENSE / Session 1**

- Re-organisations / Mergers
- “Other significant changes”
- Qualified participants
- Other Duties (e.g. audit or enforcement-procedure related)

**Speaker:**    **Barbara GALLATI, PwC**

10:15            REFRESHMENTS

10:45            **PRUDENTIAL SUPERVISION – PRACTICAL EXPERIENCE / Session 2**

- Market overview
- Supervisory instruments
- Important risks like sanctions, cyberattacks and more

**Speaker:**    **Ralph FREY, AOOS**

12:15            LUNCH

13:30            **TRUSTEE ACTIVITIES – SCOPE OF THE SWISS REGULATION AND RELATED IMPLICATIONS / Session 3**

- Management of separate fund (Art. 19 FINIG)
- Assets under Trust
- “Delegation” of Investment Management
- Crossborder Activities

**Speaker:**    **Marc BLUMENFELD, Alithis AG**

15:00            REFRESHMENTS

15:30            **AML AND SANCTIONS – BRUSH-UP AND RECENT DEVELOPMENTS / Session 4**

- Overview of key obligations
- AML risk analysis, complex structures, and further hot topics
- What to expect from the audit – insights and audit methodology

**Speaker:**    **Thomas HULMANN, Grant Thornton**

17:00            CLOSE OF THE DAY

November 2024

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